

PATENT
03330-P0012A LHR

International Application No.	PCT/NL00/00686
International Filing Date	September 25, 2000
Priority Date Claimed	September 24, 1999
Title of Application	Wind Power Generator
Applicant	Hendrik Lambertus Lagerwey

Assistant Commissioner for Patents
Washington, DC 20231

Box PCT; Attention: EO/US

***TRANSMITTAL LETTER TO THE UNITED STATES
ELECTED OFFICE (EO/US)
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)***

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:

- ☒ This express request to immediately begin national examination procedures (35 U.S.C. 37(f)).
- ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 CFR 1.492) as indicated below:

NOTE: Documents and fees must be clearly identified as a submission to enter the National Stage under 35 USC 371 otherwise the submission will be considered as being made under 35 USC 111.37 CFR 1.494(f).

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2. Fees:

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
<input checked="" type="checkbox"/> 1	TOTAL CLAIMS	9 - 20 =	0	x \$18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	1 - 3 =	0	x \$84.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) x \$270				0.00
BASIC FEE	<input type="checkbox"/> U.S. PTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where an International preliminary examination fee as set forth in § 1.482 has been paid on the international application to the U.S. PTO: <input type="checkbox"/> and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national stage (37 CFR 1.492(a)(4)).....\$100.00 *. <input type="checkbox"/> and the above requirements are not met (37 CFR 1.492(a)(1)).....\$710.00 *. <input checked="" type="checkbox"/> U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY: Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in §1.445(a)(2) to the U.S. PTO: <input type="checkbox"/> has been paid (37 CFR 1.481(a)(2)).....\$490.00 *. <input type="checkbox"/> has not been paid (37 CFR 1.492(a)(3)).....\$750.00 *. <input checked="" type="checkbox"/> Where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 CFR 1.492(a)(5)).....\$890.00				890.00
	TOTAL OF ABOVE CALCULATIONS =				890.00
SMALL ENTITY	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also (note 37 CFR 1.9, 1.27, 1.28)				-445.00
	Subtotal				445.00
	Fee for recording the enclosed Assignment \$40.00 (37 CFR 1.21(h)) (see Item 13 below). See attached Assignment Cover Sheet.				40.00
TOTAL	Total Fees enclosed				\$45.00

☒ A check in the amount of \$485.00 is enclosed.

See attached Preliminary Amendment reducing the number of claims

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3. A copy of the International Application as filed is not enclosed (35 U.S.C. 371 (c)(2)).

NOTE: Section 4.495(b) was amended to require that the basic national fee and a copy of the international application must be filed with the Office by 30 months from the priority date to avoid abandonment. "The International Bureau normally provides the copy of the international application to the Office in accordance with PCT Article 20. At the same time, the international Bureau notifies applicant of the communication to the Office. In accordance with PCT Rule 47.1, that notice shall be accepted by all designated offices as conclusive evidence that the communication has duly taken place. Thus, if the applicant desires to enter the national stage, the applicant normally need only check to be sure the notice from the International Bureau has been received and then pay the basic national fee by 30 months from the priority date."

- ☒ has been transmitted: Attached is PCT form PCT/IB/308.
☒ by the International Bureau.

4. A translation of the International application into the English language (35 U.S.C. 371 (c)(2)):

- ☒ is not required as the application was filed in English.

5. Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):

NOTE: The Notice of January 7, 1993 points out that 37 CFR § 1.495(a) was amended to clarify the existing and continuing practice that PCT Article 19 Amendments must be submitted by 30 months from the priority date and this deadline may not be extended. The Notice further advises that: "The failure to do so will not result in loss of the subject matter of the PCT Article 19 amendment. Applicant may submit that subject matter in a preliminary amendment filed under Section 1.121. In many cases, filing an amendment under Section 1.121 is preferable since grammatical or idiomatic errors may be corrected." 1147 O.G. 29-40, at 36.

- ☒ has not been transmitted as:
☐ applicant chose not to make amendment under PCT Article 19. The date of the Mailing of the Search Report (from form PCT/ISA/210):--.

6. A translation of the amendment to the claims under PCT Article 19 (38 U.S.C. 371(c)(3)):

- ☒ was not transmitted for reasons indicated at point 5 above.

7. A copy of the international examination report (PCT/IPEA/409):

- ☒ is transmitted herewith.

8. A Separate Sheet to the International Preliminary Examination Report:

- ☒ is transmitted herewith.

9. A translation of the Separate Sheet to the International Preliminary Examination Report:

- ☒ is not required as the Separate Sheet were made in English.

10. An oath or declaration of the inventor (35 U.S.C.(c)(4)) complying with 35 U.S.C. 115 is enclosed.

11. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) is transmitted herewith.

12. An Information Disclosure Statement under 37 CFR 1.97 and 1.98:

- ☒ is transmitted herewith. Also transmitted herewith is/are:
☒ Copies of the citations listed.

13. Additional Documents being transmitted:

- ☒ International Publication No WO 01/21956.
☒ Specification, claims and drawings
☒ Preliminary Amendment (37 CFR §1.121).
☒ PCT Form PCT/IB/332 Information concerning Elected Offices Notified of their Election.
☒ Small Entity Claim Assertion.

14. An Assignment document:

- ☒ is transmitted herewith for recording. A separate Cover Sheet for Assignment Accompanying New Patent Application is attached.

Please mail the recorded Assignment document to the person whose signature and address appear below.

15. The above-checked items are being transmitted:

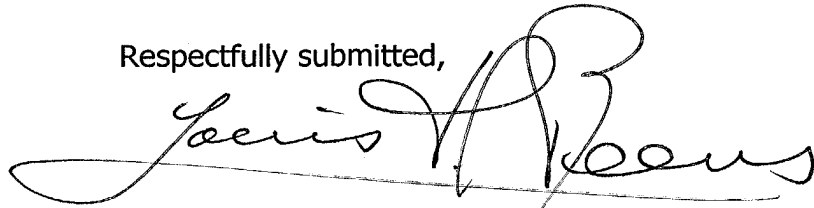
- ☒ before 30 months from any claimed priority date.

16. Certain requirements under 35 USC were previously submitted by the applicant on ---, namely:

Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge **any** additional fees, including, but not limited to 37 CFR 1.492(a)(1),(2),(3)&(4) (filing fees) and 37 CFR 1.492(b),(c),(d) (presentation of extra claims) by this paper and during the entire pendency of the Application to Account No. 19-4516.

Respectfully submitted,



March 21, 2002

Louis H. Reens, Registration No. 22,588
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Hendrik Lambertus Lagerwey
Serial No. - Pending	Filing Date: March 21, 2002
Title of Application:	Wind Power Generator

Assistant Commissioner for Patents
Washington, DC 20231

Small Entity Assertion

Dear Sir:

1. **Small Entity Statement.** Applicant claims Small Entity Status. See 37 CFR 1.27.

Respectfully submitted,

March 21, 2002


Louis H. Reens, Registration No. 22,588
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PATENT APPLICATION SERIAL NO. 10/088941

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

03/29/2002 NGUYEN 00000134 10088941

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*U.S. GPO: 2000-468-987/39595